



Full Business and Trade name of Juristic Representative

EasyProperties (Pty) Ltd. EasyProperties has been mandated as a juristic representative to render financial services on its behalf via the EasyProperties Platform.

Registration number: 2019/184453/07

Full Business and Trade name: First World Trader (Pty) Ltd t/a EasyEquities

FSP license Number: 22588

Licensed financial services: Category I and Category II

Conditions/restrictions: 1. The

- 1. The FSP must inform the Registrar of any change i.r.o. business information of the FSP.
- 2. The FSP must at all times maintain the services of any Key Individual and ensure full compliance with Section 8 (4)(b) of the Act.
- The FSP must within one month of the date contemplated in Section 7 of the Act, inform the Registrar of any change effected to the details as contained in the register.
- 4. The FSP must not change the name of the business as reflected on the licence, unless certain conditions are met and the Registrar has issued an appropriately amended licence.
- 5. The FSP must at all times ensure that any financial product i.r.o. which the FSP intends to render a financial service is lawfully issued.
- 6. The FSP must disclose that:
 - Spread and CFD transactions entered into on a principal-to-principal hasis
 - Transactions aren't regulated in terms of FAIS
 - All trades are conducted on the FSP's prices and not done directly on the exchange

A full list of the conditions is available on request from the Compliance Officer.

Registration number: 1999/021265/07

Postal address: Postnet Suite 247, Private Bag X1, Melrose Arch, 2196

Physical address: 16th Floor, 25 Owl Street, Braamfontein Werf, 2092

Telephone: +27 87 940 6000

Fax number: +27 11 214 8028

Website: http://www.easyequities.co.za

E-mail address: helpme@easyequities.co.za

Physical Address Postal Address Contact

16th Floor, 25 Owl Street Postnet Suite 247 (T) 087 940 6000

Braamfontein Werf Private Bag X1 (E) helpme@easyequities.co.za

Johannesburg, 2029 Melrose Arch, 2076 (W) www.easyequities.co.za



COMPLIANCE DEPARTMENT

Name: Mrs. Sashika Adsetts (LLB CFP Ccm)

Company: Moonstone

Telephone: +27 12 653 6029

Licensed financial services: Category I and II

E-mail: sadsetts@moonstonecompliance.co.za

COMPLAINTS DEPARTMENT

Name: Rika Pieterse

Telephone: +27 (0) 87 940 6000

E-mail: <u>helpme@easyequities.co.za</u>

FAIS Exemptions: 1. Exemption on services under Supervision in terms of the requirements and

conditions of obtaining experience (BN 95 of 2003).

2. Exemption of licensees as regards display certified copies of licenses (BN

40 of 2004)

Fidelity Insurance Cover: R10 000 000.00



Category Description	Advice Non- Automated	Advice Automated	Intermediary Scripted	Intermediary Other
CATEGORY I- Advice and Intermed	iary Services			
Long - Term Insurance: subcategory A	X	X		
Short-Term Insurance Personal Lines	X	X		X
Long-Term Insurance subcategory B1	Х	Х		Х
Long-term insurance subcategory B2	Х	Х		Х
Long-term Insurance subcategory B2-A	Х	Х		Х
Long-term Insurance subcategory B1-A	X	Х		Х
Short-term Insurance Personal Lines A1	Х	X		X
Structured Deposits		Х		Х
Participatory interest in a hedge fund		Х		Х
Long-Term Insurance: Category C	Х	Х		X
Retail Pension Benefits	Χ	Х		Х
Pension Funds Benefits	Χ	Х		Χ
Shares	Χ	Х		Х
Bonds	Χ	Х		Х
Derivative instruments	Х	Х		Х
Money Market instruments	Χ	Х		Χ
Debentures and securitised debt	Χ	Х		Х
Warrants, certificates and other instruments	Х	Х		Х
Participatory interests in Collective Investment Schemes	Х	Х		Х
Short-Term Deposits	Χ	Х		Χ
CATEGORY II- Discretionary FSP				
Participatory interest in a hedge fund				X
Shares				Х
Bonds				Χ
Derivative instruments				Χ
Money Market instruments				Χ
Debentures and securitised debt				Χ
Warrants, certificates and other instruments				Х
Participatory interests in Collective Investment Schemes				X