

Full Business and Trade name: First World Trader (Pty) Ltd t/a EasyEquities

FSP license Number: 22588

Licensed financial services: Category I and Category II

- Conditions/restrictions:**
1. The FSP must inform the Registrar of any change i.r.o. business information of the FSP.
 2. The FSP must at all times maintain the services of any Key Individual and ensure full compliance with Section 8 (4)(b) of the Act.
 3. The FSP must within one month of the date contemplated in Section 7 of the Act, inform the Registrar of any change effected to the details as contained in the register.
 4. The FSP must not change the name of the business as reflected on the licence, unless certain conditions are met and the Registrar has issued an appropriately amended licence.
 5. The FSP must at all times ensure that any financial product i.r.o. which the FSP intends to render a financial service is lawfully issued.
 6. The FSP must disclose that:
 - Spread and CFD transactions entered into on a principal-to- principal basis
 - Transactions aren't regulated in terms of FAIS
 - All trades are conducted on the FSP's prices and not done directly on the exchange

A full list of the conditions is available on request from the Compliance Officer.

Registration number: 1999/021265/07

Postal address: Postnet Suite 247, Private Bag X1, Melrose Arch, 2196

Physical address: 16th Floor, 25 Owl Street, Braamfontein Werf, 2092

Telephone: +27 87 940 6000

Fax number: +27 11 214 8028

Website: <http://www.easyequities.co.za>

E-mail address: helpme@easyequities.co.za

Johannesburg Office:

T +27 (0) 87 940 6000
F +27 (0) 11 214 8028
W easyequities.co.za

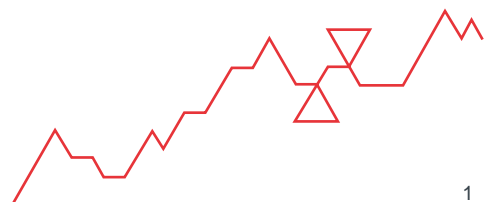
KZN Office:

T +27 (0) 87 940 6090
F +27 (0) 31 576 5088
W easyequities.co.za

Directors:

Charles Savage/CEO
Gary van Dyk/CF00

First World Trader (Pty) Ltd t/a EasyEquities is an authorised Financial Services Provider. FSB License No. 22588.



COMPLIANCE DEPARTMENT

Name: Mrs. Sashika Adsetts (LLB CFP Ccm)
Company: Moonstone
Telephone: +27 12 653 6029
Licensed financial services: Category I and II
E-mail: sadsetts@moonstonecompliance.co.za

COMPLAINTS DEPARTMENT

Name: Kele Mokgosi
Telephone: +27 (0) 87 940 6000
E-mail: helpme@easyequities.co.za

FAIS Exemptions:

1. Exemption on services under Supervision in terms of the requirements and conditions of obtaining experience (BN 95 of 2003).
2. Exemption of licensees as regards display certified copies of licenses (BN 40 of 2004)

Fidelity Insurance Cover: R10 000 000.00

Johannesburg Office:

T +27 (0) 87 940 6000
F +27 (0) 11 214 8028
W easyequities.co.za

KZN Office:

T +27 (0) 87 940 6090
F +27 (0) 31 576 5088
W easyequities.co.za

Directors:

Charles Savage/CEO
Gary van Dyk/CF00

Authorised in respect of advisory and intermediary services for the following products:		
Category Description	Advice	Intermediary
CATEGORY I- Advice and Intermediary Services		
Long - Term Insurance: subcategory A	X	
Long-Term Insurance: subcategory A1	X	X
Short -Term Insurance Personal Lines	X	X
Long-Term Insurance: subcategory B1	X	X
Long-Term Insurance B1-A	X	X
Long-Term Insurance: subcategory B2	X	X
Long- Term Insurance: subcategory B2-A	X	X
Long-Term Insurance: Category C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Short-Term Deposits	X	X
Shares	X	X
Bonds	X	X
Derivative instruments	X	X
Money Market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Participatory interests in Collective Investment Schemes	X	X
CATEGORY II- Discretionary FSP		
Shares		X
Bonds		X
Derivative instruments		X
Money Market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Participatory interests in Collective Investment Schemes		X

Johannesburg Office:

KZN Office:

Directors:

T +27 (0) 87 940 6000

T +27 (0) 87 940 6090

Charles Savage/CEO

F +27 (0) 11 214 8028

F +27 (0) 31 576 5088

Gary van Dyk/CFO

W easyequities.co.za

W easyequities.co.za

First World Trader (Pty) Ltd t/a EasyEquities is an authorised Financial Services Provider. FSB License No. 22588.

