

# COST PROFILE

EasyEquities US Securities

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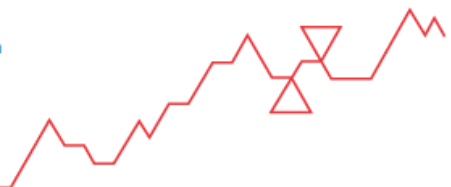
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**Directors:**

Mark Barnes/Chairman  
Charles Savage/CEO  
Gary van Dyk/CFO

First World Trader (Pty) Ltd t/a EasyEquities is an authorised Financial Services Provider. FSB License No. 22588.



The rates, fees and charges detailed below, along with the relevant notes, explain the charges applicable to your US trading account with EasyEquities.

Our goal at EasyEquities is to provide our clients access to the cheapest and friendliest place to invest in the shares you love.

## Rates and Fees: EasyEquities US Trading Account

Description	Buy	Sell	Notes
Minimum First Deposit	N/A	N/A	
Broker Commission	0.2500%	0.2500%	Note 1
Clearing Services & other administration charges	0.3100%	0.3100%	Note 2
Securities Exchange Commission Fees (SEC)		0.00218%	Note 3
FINRA Trading Activity Fee		0.0029%	Note 4
Value-added Tax on Costs (VAT)	15%	15%	
Telephone Trading charges	\$4.00		Note 5
Voucher Fee	1% (excluding VAT)		Note 6
Recurring Investment Fee	10 basis points/0.1% of Dollar Value		Note 7
Monthly Platform Fee	No charge	No charge	
SMS Notifications	No charge	No charge	
Portfolio Transfers in	No charge	No charge	Note 8
Account Transfers in	No charge	No charge	Note 8

### Note 1:

#### Broker Commission

The Broker Commission charge is charged at 0.25% of the Dollar value of each transaction (buy and sell) you enter into on the platform.

### Note 2

#### Clearing Services and other administration charges

The US Broker charges this fees for facilitating the execution, clearing and settlement of your buy and sell trades in securities

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### Note 3

#### SEC Fees

This is transaction fees charged by the Securities Exchanges Commission to the National Securities Exchanges based on the volume of securities that are sold on their market. This fee is only charged when you sell the US securities.

### Note 4

#### FINRA Trading Activity Fee

This is a fee charged by the Financial Industry Regulatory Authority when you sell your US securities.

### Note 5

#### Telephone Trading Charges

As EasyEquities is an online business, our business model relies on clients placing trades through the platform and not via telephone. In the event that Clients place a trade via telephone, we will charge the client \$4.00 per buy trade, as this consumes resources. In the event of our systems being down, for whatever reason, Clients will be allowed to place trades via telephone Free of charge.

### Note 6

#### Voucher Fee

The voucher fee is a charge levied by our voucher technology partners for the administration and issuance of vouchers on the EasyEquities Platform.

### Note 7

#### Recurring Investment Fee

This is a fee that is charged for a Recurring Investment Order placed for purchasing the Issuer Securities or Baskets or Bundles of Issuer Securities. The Recurring Investment Fee will be charged in addition to any other fees and costs listed in this Cost Profile.

### Note 8

#### Portfolio/ Account Transfers In

A client has the ability to transfer their entire portfolio or part thereof from another broker to EasyEquities. When a client transfers their entire portfolio or part thereof we are charged a handling fee by our Clearing and Settlement Depository Participant; hence we are subsidising the entire fee.

#### Portfolio/ Account Transfers Out

A client has the ability to transfer their entire portfolio or part thereof from EasyEquities to another broker. When a client transfers their entire portfolio or a part thereof we are charged a handling fee by our Clearing and Settlement Depository Participant, we recover these fees at a margin as a result of the resources utilised to facilitate the transfer.

## Interest on Free Cash for EasyEquities US Trading Accounts

Free Cash Amount	Interest earned on Client Free Cash		EasyEquities cash management fee (incl. Vat)	Net Interest paid to Client
	Reference rate	Current rate		Net Effective rate
\$0 - \$100	0.00%	0.00%	0.00%	0.00%
\$100- \$1 000	0.00%	0.00%	0.00%	0.00%
\$1 000 – \$10 000	0.00%	0.00%	0.00%	0.00%
\$10 000 – \$100 000	0.00%	0.00%	0.00%	0.00%
\$100 000+	0.00%	0.00%	0.00%	0.00%

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## Basket Fees

The basket fees are charged to cover the intellectual property of the basket creator and the strategy they have put together.

Basket fees are charged as a percentage of the basket value as outlined below.

The basket fee is charged at an instrument level; hence will incur a minimum charge of \$0.01 per instrument included in the basket, and the fee per instrument will be rounded to the nearest cent.

To view a table of fees charged per basket type, please visit our FAQ article [HERE](#) ( HYPERLINK )

## Bundle Fees

The bundle fees are charged to cover the ongoing management of each bundle and are charged in the following manner:

1. **Initial Bundle Fee:** is a once-off fee payable in advance when you first invest in a particular Bundle for the ongoing management of each Bundle you hold and accrues at the beginning of each calendar year (1 January). Where you invest in a Bundle after 1 January, this fee will be reduced proportionately according to the number of days left in that calendar year.
2. **Bundle Renewal Fee:** is an annual management fee payable each calendar year on the first day of the year for the ongoing management of each Bundle you hold.

Bundle fees are charged as a percentage of the total Dollar value of the investment and will range between 0.5% and 1.5% depending on the bundle invested in.

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