

COST PROFILE

EasyEquities USD Account

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EasyEquities USD Cost Profile

The rates, fees and charges detailed below, along with the relevant notes, explain the charges applicable to your US trading account with EasyEquities.

Our goal at EasyEquities is to provide our clients access to the cheapest and friendliest place to invest in the shares you love. Please note all costs are listed exclusive of applicable tax.

Rates and Fees

Description	Buy	Sell	Notes
Minimum First Deposit	N/A	N/A	
Broker Commission	0.2500%	0.2500%	Note 1
Clearing Services & other administration charges	0.3100%	0.3100%	Note 2
Securities Exchange Commission Fees (SEC)		0.00218%	Note 3
FINRA Trading Activity Fee		0.0029%	Note 4
Value-added Tax on Costs (VAT)	15%	15%	
Telephone Trading charges	\$4.00		Note 5
Voucher Fee	1% (excluding VAT)		Note 6
Recurring Investment Fee	10 basis points/0.1% of Dollar Value		Note 7
Monthly Platform Fee	No charge	No charge	
SMS Notifications	No charge	No charge	
Portfolio Transfers in	No charge	No charge	Note 8
Account Transfers in	No charge	No charge	Note 8
Portfolio Transfers out	\$25 per counter and \$50 for transfer of cash		Note 8
EasyFX Transfer Fee	50bps or 0.5% of the amount transferred		Note 9
Advanced Order Fee	35 basis points or 0.35% of value traded	35 basis points or 0.35% of value traded	Note 10

Note 1:

Broker Commission

The broker commission charge is charged at 0.25% of the Dollar value of each transaction (buy and sell) you enter into on the EasyEquities Platform.

Note 2:

Clearing Services and other administration charges

The US Broker charges this fee for facilitating the execution, clearing and settlement of your buy and sell trades in US securities.

Note 3:**SEC Fees**

This is a transaction fee charged by the Securities Exchanges Commission to the National Securities Exchanges based on the volume of securities that are sold on their market. This fee is only charged when you sell your US securities.

Note 4:**FINRA Trading Activity Fee**

This is a fee charged by the Financial Industry Regulatory Authority when you sell your US securities.

Note 5:**Telephone Trading Charges**

As EasyEquities is an online business, our business model relies on clients placing trades through the EasyEquities Platform and not via telephone. In the event that you place a trade via telephone, we will charge you \$4.00 per trade, as this consumes resources. In the event of our systems being down, for whatever reason, you will be allowed to place trades via telephone free of charge.

Note 6:**Voucher Fee**

The voucher fee is a charge levied by our voucher technology partners for the administration and issuance of vouchers on the EasyEquities Platform.

Note 7:**Recurring Investment Fee**

This is a fee that is charged for a Recurring Investment Order placed for purchasing US securities. The Recurring Investment Fee will be charged in addition to any other fees and costs listed in this Cost Profile.

Note 8:**Portfolio/ Account Transfers In**

A client has the ability to transfer their entire portfolio or part thereof from another broker to EasyEquities. When a client transfers their entire portfolio or part thereof we are charged a handling fee by our Clearing and Settlement Depository Participant; hence we are subsidising the entire fee.

Portfolio/ Account Transfers Out

A client has the ability to transfer their entire portfolio or part thereof from EasyEquities to another broker. When a client transfers their entire portfolio or a part thereof we are charged a handling fee by our Clearing and Settlement Depository Participant, we recover these fees at a margin as a result of the resources utilised to facilitate the transfer.

Note 9:**EasyFX Transfers**

The exchange rate displayed is a one day fixed exchange rate that will be applied to EasyFX Transfers initiated within the one day fixed exchange rate window.

The fixed exchange rate is set at 200bps (2%) above the Mid WM/Reuters spot rate at the open and closing times as listed below. All times quoted are GMT or GMT+1 during BST.

- Open: Mon 09h30 - Close: Tue 09h30
- Open: Tue 09h30 - Close: Wed 09h30
- Open: Wed 09h30 - Close: Thu 09h30
- Open: Thu 09h30 - Close: Fri 09h30
- Open: Fri 09h30 - Close Mon 09h30

The EasyFX Transfer Fee is calculated as (50bps (0.5%) x transferred amount) plus applicable tax. This is a flat fee on all EasyFX Transfers made via the EasyEquities Platform for outward and inward EasyFX Transfers. Please note that EasyFX Transfer Fees that equal less than \$0.01 will not be displayed on the EasyEquities Platform however, these fees will still be charged against your account notwithstanding that they are not displayed. EasyEquities reserves the right to recoup these fees from you at all times.

Note 10:**Advanced Order Fees**

The Advanced Order Fee will be debited against your EasyEquities ZAR account for Advanced Buy Limit Orders, Advanced Sell Limit Orders, Break Orders, Stop Loss Orders and Take Profit Orders. The Advanced Order Fee will be charged in addition to any other fees and costs listed in the Cost Profile

Interest on Free Cash for EasyEquities US Trading Accounts

EasyEquities will pay clients interest on available funds on deposit (being all client cash not held as Margin) (“Free Cash”).

The Net Interest paid to clients on Free Cash will be calculated as follows:

Free Cash Amount	Interest earned on Client Free Cash		EasyEquities cash	Net Interest paid to Client
	Reference rate	Current rate	management fee (incl. Vat)	Net Effective rate
\$0 - \$100	0.00%	0.00%	0.00%	0.00%
\$100- \$1 000	0.00%	0.00%	0.00%	0.00%
\$1 000 – \$10 000	0.00%	0.00%	0.00%	0.00%
\$10 000 – \$100 000	0.00%	0.00%	0.00%	0.00%
\$100 000+	0.00%	0.00%	0.00%	0.00%

Basket Fees

The basket fees are charged to cover the intellectual property of the basket creator and the strategy they have put together.

Basket fees are charged as a percentage of the basket value as outlined below.

The basket fee is charged at an instrument level; hence will incur a minimum charge of \$0.01 per instrument included in the basket, and the fee per instrument will be rounded to the nearest cent.

To view a table of fees charged per basket type, please visit our FAQ article [HERE](#) (HYPERLINK)

Bundle Fees

The bundle fees are charged to cover the ongoing management of each bundle and are charged in the following manner:

1. **Initial Bundle Fee:** is a once-off fee payable in advance when you first invest in a particular Bundle for the ongoing management of each Bundle you hold and accrues at the beginning of each calendar year (1 January). Where you invest in a Bundle after 1 January, this fee will be reduced proportionately according to the number of days left in that calendar year.
2. **Bundle Renewal Fee:** is an annual management fee payable each calendar year on the first day of the year for the ongoing management of each Bundle you hold.

Bundle fees are charged as a percentage of the total Dollar value of the investment and will range between 0.5% and 1.5% depending on the bundle invested in.

To view a table of fees charged per bundle type, please visit our FAQ article [HERE](#) (HYPERLINK)